



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Parul A. Mittal, et al.  
Serial No.: 09/686,641  
Filed: October 10, 2000

Docket No. JP920000234US1  
Group Art Unit: 2162  
Dated: December 12, 2000  
RECEIVED  
FEB - 2001  
Technology Center 2100

For: DYNAMIC ON-LINE LEARNING SYSTEM FOR ELECTRONIC COUPONS  
USING ON-LINE AUCTIONS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

SUBMISSION OF DECLARATION

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants  
referencing application Serial No. 09/686,641 as originally filed on October 10, 2000.  
Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the  
surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By Stephen C. Kaufman  
Stephen C. Kaufman (Reg. 29,551)  
Attorney for Applicants  
914-945-3197

IBM Corporation  
Intellectual Property Law  
P.O. Box 218  
Yorktown Heights, N.Y. 10598  
Fax: 914-945-3281

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to my name;

We believe we are the ~~original, first and sole inventor (if only one name is listed below)~~ or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

'Dynamic On-line Learning System For Electronic Coupons Using On-line Auctions'

the specification of which (check one)

1 is attached hereto.

X was filed on 10/10/00 as United States Application Number 09/686,641

or PCT International Application Number \_\_\_\_\_

and was amended on \_\_\_\_\_ (if applicable)

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this ~~Technology~~ **Technology Center 2100** in accordance with Title 37, Code of Federal Regulations, Section 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, '119(a)-(d) or '365(b) of any foreign application(s) for patent or inventor's certificate, or '365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)  
Priority Claimed

_____	_____	Yes ___ No	
(Number)	(Country)		(Day/Month/Year Filed)
_____	_____	Yes ___ No	
- (Number)	(Country)		(Day/Month/Year Filed)
_____	_____	Yes ___ No	
(Number)	(Country)		(Day/Month/Year Filed)

We hereby claim the benefit under 35 U.S.C. '119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Number) (Filing Date)

\_\_\_\_\_  
(Application Number) (Filing Date)

We hereby claim the benefit under 35 U.S.C. '120 of any United States Application(s), or '365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States, or PCT International application in the manner provided by the first paragraph of 35 U.S.C. '112, we acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR '1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:



**RECEIVED**  
FEB 1 2001

**RECEIVED**  
DEC 21 2000  
Technology Center 2100

(Application Serial No.) (Filing Date) (Status) (patented, pending, abandoned)

(Application Serial No.) (Filing Date) (Status) (patented, pending, abandoned)

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As named inventors we hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Manny W. Schecter (Reg. 31,722), Lauren Bruzzone (Reg. 35,082), Christopher A. Hughes (Reg. 26,914), Edward A. Pennington (Reg. 32,588), John E. Hoel (Reg. 26,279), Joseph C. Redmond, Jr. (Reg. 18,753), Douglas W. Cameron (Reg. 31,596), Louis P. Herzberg (Reg. 41,500), Stephen C. Kaufman (Reg. 29,551), Daniel P. Morris (Reg. 32,053), Paul J. Otterstedt (Reg. 37,411), Louis J. Percello (Reg. 33,206), David M. Shofi (Reg. 39,835), Robert M. Trepp (Reg. 25,933), Wayne L. Ellenbogen (Reg. 43,602) and Marian Underweiser (46,134)

Send Correspondence to: Stephen C. Kaufman, Intellectual Property Law Dept.  
IBM Corporation, P.O. Box 218, Yorktown Heights, New York 10598

Direct Telephone Calls to: (name and telephone number) Stephen C. Kaufman (914) 945-3197

**Full name of sole or first inventor:** **Parul A MITTAL**

Inventor's Signature Paul Aggarwal Date 3<sup>rd</sup> Nov '2000

Residence 70 Gautam Apartments, DDA Flats, Gautam Nagar, New Delhi - 110 049,  
India

Citizenship Indian

Post Office Address 70 Gautam Apartments, DDA Flats, Gautam Nagar, New Delhi - 110 049,  
India

**Full name of second joint-inventor:** **Abhinanda SARKAR**

Inventor's Signature Allen Date 31/11/2000

Residence: N-86, Panchsheel Park, New Delhi - 110 017, India

Citizenship: Indian

Post Office Address: N-86, Panchsheel Park, New Delhi - 110 017, India

Full name of third joint-inventor:

K. BALAJI

Inventor's Signature

Date

30 Nov. 2000

Residence

F-2/8, Malaviya Nagar, New Delhi - 110 017, India

Citizenship

Indian

Post Office Address:

F-2/8, Malaviya Nagar, New Delhi - 110 017, India